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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

JCS  
S. PTO  
01/11/2002

Docket No. : 45822/SAH/C715  
Inventor(s) : Mark Stiehl, Michael L. Tartaglia, Carl Embry, Michael Dudek, Timothy Bingaman, Allen Tracy, Mark Eginton, Dale Isaacson  
Title : VCSEL ARRAY OPTICAL SUBASSEMBLY MODULE WITH ALIGNMENT MECHANISM  
Express Mail Label No. : EL904750313US

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ADDRESS TO: Assistant Commissioner for Patents

Box Patent Application  
Washington, D.C. 20231

Date: January 11, 2002

1. X **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing.*)

2. **IF A CONTINUING APPLICATION**

   This application is a of patent application No. .

Prior application information: Examiner ; Group Art Unit:

X This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/261,223.

3. **APPLICATION COMPRISED OF**

**Specification**

26 Specification, claims and Abstract (total pages)

**Drawings**

15 Sheets of formal drawing(s) (FIGS. 1 to 10)

**Declaration and Power of Attorney**

   Newly executed

X Unexecuted declaration

   Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4.    **Microfiche Computer Program (Appendix)**

5.    **Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)**

   Computer Readable Copy

   Paper Copy (identical to computer copy)

   Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

X Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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**7. ALSO ENCLOSED ARE**

- Preliminary Amendment
- Includes "Cross-Reference to Related Applications"
- A Petition for Extension of Time for the parent application and the required fee are enclosed
- An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- This application is owned by pursuant to an Assignment recorded at Reel , Frame
- Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- English Translation Document (*if applicable*)
- Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- Other Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

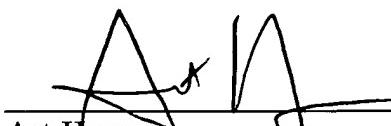
**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Art Hasan  
Reg. No. 41,057  
626/795-9900

SAH/jhg

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: January 11, 2002**

Docket No. : 45822/SAH/C715

Inventor(s) : Mark Stiehl, Michael L. Tartaglia, Carl Embry, Michael Dudek, Timothy Bingaman, Allen Tracy, Mark Eginton, Dale Isaacson

Title : VCSEL ARRAY OPTICAL SUBASSEMBLY MODULE WITH ALIGNMENT MECHANISM    Duplicate       

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	Fee
TOTAL CLAIMS	20 - 20	0	x \$9.00	x \$18.00	\$0.00
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$370.00	\$740.00	\$370.00
				TOTAL FILING FEE	\$454.00

List Independent Claims: 1, 7, 9, 14

DOCKET  
DETERMINATION

**METHOD OF PAYMENT**

Payment Enclosed: Check for \$454.00

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Art Hasan  
Reg. No. 41,057  
626/795-9900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL904750313US

Applicant : Mark Stiehl, et al.  
Filed : January 11, 2002  
Title : VCSEL ARRAY OPTICAL SUBASSEMBLY MODULE  
WITH ALIGNMENT MECHANISM  
Docket No. : 45822/SAH/C715

REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
January 11, 2002

Commissioner:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Art Hasan  
Reg. No. 41,057  
626/795-9900

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